



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2165  
#6  
PT  
4-17-03

In re Grant et al.

Serial No.: 09/900,706

Filed: July 6, 2001

For: PRICE DECISION SUPPORT

Case No.: 0104704-00007

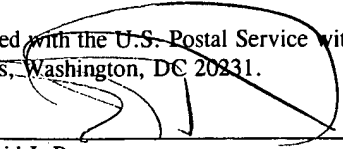
Examiner:

Group Art Unit: 2165

CERTIFICATE OF MAILING

The undersigned certifies that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date: 4/04/03

  
David J. Powsner  
Reg. No. 31,868

Supplemental Information Disclosure Statement

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 56 and 97 *et seq*, the Applicants cite the information on the accompanying modified form PTO 1449. The Applicants also enclose copies of the cited publications.

This Disclosure Statement is being filed under Rule 97(b), that is, within three months of the filing date of a national application (or entry of the national phase in the case of an international application) or before the mailing date of a first Office Action, whichever event occurs last.

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Supplemental Information Disclosure Statement

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The Applicant also calls the Examiner's attention to the following co-pending, commonly assigned U.S. Patent Applications:

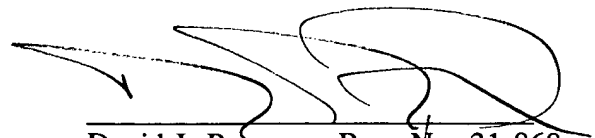
<u>U.S. Serial No.</u>	<u>Filing Date</u>	<u>Title</u>
09/263,979	3/5/1999	Inventory and Price Decision Support
09/826,378	4/4/2001	Assortment Decisions
09/975,769	10/11/2001	Clustering
10/005,217	12/5/2001	Payout Distributions in Games of Chance
10/165,041	6/7/2002	Markdown Management

The filing of this Statement shall not be construed as a representation that a search has been conducted, nor as an admission that the information cited herein is (or is considered to be) material to patentability, nor that the publication or information cited herein is prior art.


The Applicants understand no fees, apart from those referred to above, if any, are necessary in order to insure consideration of the information cited herein. If the Applicants' understanding in this regard is incorrect, please charge any such fees to Deposit Order Account 141449. For this purpose, a duplicate of this document is enclosed.

Respectfully Submitted,

Dated: 4/04/03

  
David J. Powsner, Reg. No. 31,868  
Attorney for Applicants

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Form PTO-1449 (Rev. 8-83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO: 0104704-00007		APPLICATION 09/900,706								
		INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)													
		APPLICANT(S): Grant et al.		FILING DATE: 7/6/2001		GROUP ART UNIT: 2165									
<b>U.S. PATENT DOCUMENTS</b>															
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE *		
		5	4	5	0	3	1	4	9/1995	Kagami et al.				<b>RECEIVED.</b>  <b>APR 08 2003</b>  <b>GROUP 3600</b>	
		5	9	6	3	9	1	9	10/1999	Brinkley et al.					
		6	0	0	9	4	0	7	12/1999	Garg, Amit					
		6	1	5	1	5	8	2	11/2000	Huang et al.					
		6	2	0	5	4	3	1	3/2001	Willemain et al.					
		6	3	4	1	2	6	9	1/2002	Dulaney et al.					
		6	3	6	6	8	9	0	4/2002	Usrey					
		6	4	9	3	6	7	8	12/2002	Foster et al.					
<b>FOREIGN PATENT DOCUMENTS</b>															
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
													YES	NO	
		WO 02/29696 A1							4/2002	PCT					
		WO 90/09638 A1							8/1990	PCT					
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)</b>															
	✓	Ackerman, Jerry, "Looking Back to Fashion's Future," The Boston Globe 10/07/1998 [retrieved 01/07/03], 3 pages, retrieved from: archive.org and Google.com													
	✓	Agrawal, Rakesh et al. "Fast Similarity Search in the Presence of Noise, Scaling, and Translation in Time-Series Databases," Proceedings of the 21st International Conference on Very Large Data Bases Sept. 11-15, 1995.													
	✓	Datz, Todd, "Pythagorean Pantsuits-Modeling Merchandise," CIO Magazine, 02/15/1999 [retrieved 01/07/03], 1 page, retrieved from Google.com and archive.org.													
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Examiner		Date Considered:													
		*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.													

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						<b>GROUP 3600</b>

## U.S. PATENT PUBLICATIONS

	US-2001/0047293	11/2001	Waller et al.			
	US-2002/0022985	02/2002	Guidice et al.			
	US-2002/0029176	03/2002	Carlson et al.			
	US-2002/0072977	06/2002	Hoblit et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

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✓	"Gymboree Selects TSI to Design and Implement Inventory Optimization and Pricing Solution," TSI Press Release, 01/13/1999 [retrieved 01/07/03], 2 pages, retrieved from: Google.com and archive.com.
✓	Jain, Anil K. and Richard C. Dubes, Algorithms for Clustering Data (Prentice Hall: 1988) pp. ix-xi, 1-30.
✓	Keogh, Eamonn and Padhraic Smyth, "A Probabilistic Approach to Fast Pattern Matching in Time Series Databases," Proceedings of the Third Conference in Knowledge Discovery in Databases and Data Mining (1997).
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✓	Keogh, Eamonn J. and Michael J. Pazzani, "Relevance Feedback Retrieval of Time Series Data," 22nd International Conference on Research and Development in Information Retrieval, August 1999.
✓	Keogh, Eamonn, "A Fast and Robust Method for Pattern Matching in Time Series Databases," Proceedings of WUSS (1997).

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## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

✓	Koloszyk, Ginger, "Merchants Try Complex Mathematical Tools to Improve Inventory Decisions," <u>Stores Magazine</u> 11/1999 [retrieved 01/07/03], 3 pages, retrieved from: Google.com and archive.org.
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✓	"Wal-mart: Retailer of the Century: High-Tech Complements Human Touch," <u>Discount Store News</u> 10/11/1999 [retrieved 06/26/02], 3 pages, retrieved from: www.lexus.com.

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